

# The structure of thin Lie algebras up to the second diamond

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## Thin Lie algebras

A graded Lie algebra

$$L = \bigoplus_{i=1}^{\infty} L_i$$

over a field  $\mathbb{F}$  is said to be thin if  $\dim(L_1) = 2$  and the following *covering property* holds:

$$[uL_1] = L_{i+1} \quad \text{for all } 0 \neq u \in L_i, \text{ for all } i \geq 1$$

The covering property can be reformulated as follows:

for every graded ideal  $I$  in  $L$ ,  $L^{i+1} \subseteq I \subseteq L^i$ , for some  $i$ .

[A. Caranti, S.Mattarei, M.Newman, C.Scoppola, *Thin groups of prime power order and thin Lie algebras*, 1996]

A  $p$ -group  $G$  is said to be thin if  $\gamma_i(G)/\gamma_{i+1}(G)$  is elementary abelian of order  $p$  or  $p^2$  and for every nontrivial normal subgroup  $N$  of  $G$

$$\gamma_{i+1}(G) \leq N \leq \gamma_i(G)$$

for some  $i$ .

[R. Brandl, *The Dilworth number of subgroup lattices*, 1988]

The definition of thin group extends to pro  $p$ -groups

[A. Caranti, S. Mattarei, M. Newmann, C. Scoppola, *Thin groups of prime power order and Lie algebras*, 1996]

Examples of thin groups:

- \* groups of maximal class

- \* the so-called *Nottingham group* over the field  $\mathbb{F}_p$

The Nottingham group

$$J = \left\{ t + \sum_{i=2}^{\infty} a_i t^i : a_i \in \mathbb{F}_p \right\}$$

where for  $\alpha = t + \sum_{i=2}^{\infty} a_i t^i$  and  $\beta \in J$

$$\alpha * \beta = \beta + \sum_{i=2}^{\infty} a_i \beta^i$$

$G$  is thin if and only if

$$L(G) = \bigoplus_{i=1}^{\infty} \gamma_i(G) / \gamma_{i+1}(G)$$

is a thin Lie algebra.

[A. Caranti, S. Mattarei, M. Newmann, C. Scoppola, *Thin groups of prime power order and thin Lie algebras*, 1996]

Thin groups are group of *width* two and *obliquity* zero

[G. Klass, C. R. Leedham - Green, W. Plesken, *Linear pro  $p$ -groups of finite width*, 1997]

Let  $L$  be a thin Lie algebra.

$L$  is generated (as Lie algebra) by  $L_1$

$L$  is centerless if infinite dimensional and the center of  $L$  is the highest nonzero homogeneous component otherwise

Every homogeneous component of  $L$  has dimension 1 or 2 (or possibly zero if  $L$  is finite dimensional)

An homogeneous component of dimension two is termed a *diamond* of  $L$ .

In particular  $L_1$  is a diamond of  $L$

Thin Lie algebras with no diamonds except for  $L_1$  are (*graded*) *Lie algebras of maximal class* (*generated in degree 1*)

## (Graded) Lie algebras of maximal class

$$L = \bigoplus_{i=1}^{\infty} L_i$$

is a Lie algebra of maximal class if  $\dim(L_1) = 2$ ,  $\dim(L_i) \leq 1$  and  $[L_i, L_1] = L_{i+1}$ .

\*  $L^i = \bigoplus_{j \geq i} L_j$  thus (if  $L_{i-1} \neq 0$ )  $\dim(L/L^i) = i$  and  $c(L/L^i) = i - 1$ .

In characteristic zero there is a unique infinite dimensional Lie algebra of maximal class and it is metabelian.

In prime characteristic there are uncountably many isomorphism classes of infinite dimensional Lie algebras of maximal class

Classification.

[A. Caranti, M. Newman, *Graded Lie algebras of maximal class II*, 2000]  $p$  odd

[G. Jurman, *Graded Lie algebras of maximal class III*, 2005]  
 $p = 2$

The *two-step* centralizers of  $L$ :

$$C_i = C_{L_1}(L_i) = \{a \in L_1 : [a, b] = 0, \text{ for all } b \in L_i\}$$

thus  $\dim(C_i) = 2 - \dim(L_{i+1})$ .

The sequence  $\{C_i = C_{L_1}(L_i)\}_{i \geq 2}$  determines  $L$  up to isomorphism.

Choose  $y \in L_1$  such that  $\mathbb{F}y = C_2$ :

\* if  $C_i = \mathbb{F}y$  for every  $i \geq 2$  (or possibly  $C_i = L_1$  when  $L$  is f.d.) then  $L$  is metabelian;

\* otherwise let  $\mathbb{F}x = C_{\bar{q}}$  be the second distinct centralizer in order of occurrence, then  $\bar{q} = 2p^s$  ( $p > 0$  the characteristic of the underlying field)

\* the sequence  $\{C_i\}_{i \geq 2}$  consists of consecutive occurrences of  $\mathbb{F}y$  interrupted by isolated occurrences of other centralizers.

Suppose that

$C_i \neq \mathbb{F}y$ ,  $C_{i+1} = \dots = C_{i+m-1} = \mathbb{F}y$ ,  $C_{i+m} \notin \{\mathbb{F}y, L_1\}$   
then  $\{C_{i+1}, \dots, C_{i+m}\}$  is a *constituent* of  $L$  of length  $m$

The first constituent  $\{C_2, \dots, C_{\bar{q}}\}$  is conventionally said to be of length  $\bar{q}$ .

The possible values for  $m$  are

$$2p^s \quad \text{or} \quad 2p^s - p^h, \quad 0 \leq h \leq s$$

provided  $L$  is infinite dimensional or of dimension large enough.

In the special case  $L$  has exactly two distinct two-step centralizers then  $L$  is uniquely determined by the sequence of its constituent lengths.

[A. Caranti, S. Mattarei, M. Newman, *Graded Lie algebras of maximal class*, 1997]



## Second diamond of thin algebras

Let  $L$  be a thin Lie algebra and suppose that  $L$  is not of maximal class. Let  $L_k$  be the second diamond of  $L$ .

Suppose that  $L = L(G)$  for an infinite thin pro  $p$ -group  $G$ , then  $L/L^k = L_1 \oplus \cdots \oplus L_{k-1}$  is a metabelian Lie algebra of maximal class,  $p$  is odd and  $k$  can only be 3, 5 or  $p$ .

[A. Caranti, S. Mattarei, M. Newman, C. Scoppola, *Thin groups of prime power order and thin Lie algebras*, 1996]

Pro  $p$ -groups such that the corresponding Lie algebra has second diamond in degree  $k = 3$  or 5 have been studied in

[S. Mattarei, *Some thin pro  $p$ -groups*, 1999]

The case  $k = p$  occurs for the graded Lie algebra associated to the Nottingham group (w.r.t. its lower central series)

Thin Lie algebras not necessarily coming from thin groups.

**Theorem** [A. Caranti and G. Jurman]

Let  $L$  be a thin Lie algebra over a field of odd characteristic, with second diamond  $L_k$  and dimension large enough. Then  $L/L^k$  is metabelian.

[A. Caranti, G. Jurman, *Quotients of maximal class of thin Lie algebras*, 1999]

**Theorem**

Let  $L$  be a thin Lie algebra over a field of odd characteristic, with second diamond  $L_k$  and dimension large enough. Then the possible values for  $k$  are  $3, 5, p^s$  or  $2p^s - 1$ .

[A. Caranti, S. Mattarei, *Some Thin Lie algebras related to Albert-Frank algebras and algebras of maximal class*, 1999]

[M. A. G. Jurman, *Diamonds in thin Lie algebras*, 2001]

## Theorem

Let  $L$  be a thin Lie algebra, over a field of characteristic two, with second diamond  $L_k$  and dimension larger than  $(4k + 1)/3$ . Suppose that the quotient  $L/L^k$  is not metabelian, but  $L/L^6$  is. Let  $\mathbb{F}y = C_{L_1}(L_2)$  and  $\mathbb{F}x = C_{L_1}(L_{\bar{q}})$  be the first two distinct two-step centralizers, at their first occurrence and set  $M = L/([L_{k-1}x] + L^{k+1})$ . Then

1. the graded Lie algebra of maximal class  $M$  has exactly two distinct two-step centralizers;
2. the sequence of constituent lengths of  $M$  is either  $\bar{q}, \bar{q} - 2$ , or  $\bar{q}, \bar{q} - 1, \bar{q}^{2r-3}, \bar{q} - 1$ , where  $\bar{q}$  and  $r$  are powers of two;
3.  $k + 1$  is a power of two.

All the cases in the Theorem do really occur.

## Corollary

The second diamond of a thin Lie algebra  $L$  in characteristic  $p$ , with  $L/L^6$  metabelian and the dimension of  $L$  large enough, always occurs in odd degree of the form  $p^n$  or  $2p^n - 1$  for some  $n > 0$ .

Partial results in

[G. Jurman, *Quotients of maximal class of thin Lie algebras. The characteristic two case*, 1999]